

DUAL OCCUPATION DISCLOSURE

- FINANCIAL PLANNING
- LIFE & DISABILITY
- GIC
- TAX RETURN PREPARATION SERVICES
- OTHER/OUTSIDE BUSINESS RELATIONSHIPS _____

(Other outside business activity)

Whereas _____ (hereinafter referred to as the “Purchaser”) may
(client name)

have purchased or may purchase fee-based financial planning services, guaranteed investment certificates, Life & Disability Insurance, tax return preparation services **and/or** other/outside business activities (“Outside Business”) through the office of _____

(representative name)

(“hereinafter referred to as the “Representative”) who is acting as _____
(shareholder, employee, agent, rep., etc.)

for _____ (“hereinafter referred to as the “Company”), it is
(Outside Business Activity name)

important to set out the following understanding:

- The Purchaser hereby agrees and acknowledges that the Representative, while providing the Purchaser with Outside Business, is acting solely as a representative of the Company.
- The name of the Company is not a registered trade name of Investia Financial Services Inc. and activities provided through the Company are not those of Investia Financial Services Inc.
- All non-mutual fund related business conducted by the representative **is not** in his/her capacity as an employee or agent of Investia Financial Service Inc. Accordingly, you hereby agree that Investia Financial Service Inc. is not liable and/or responsible for any non-mutual fund related business conducted by the representative and acknowledge that such non-mutual fund related business is the responsibility of the representative alone. Investia Financial Services Inc. is not providing and does not supervise any of the above noted activities and you should not rely on Investia Financial Services Inc. for any review of the service or investment.
- The Purchaser specifically acknowledges and agrees that any advice which may be given by the Representative in respect of the Outside Business is given by the Representative solely as an employee of the Company and that no such advice will, or has been, given by the Representative in his/her capacity as an agent of Investia Financial Services Inc.
- It is important to set out any real or potential conflicts of interest that may exist in reference to the Outside Business of the Representative that you are considering.

Client Name

Client Signature

Representative Name & Code

Date